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 (AN INTERNATIONAL PROFESSIONAL BODY FOR SAFETY PROFESSIONALS)

**OHSMS AUDITOR EXAMINATION PAPER   
 FOR USE ON THE**

**ISO 45001: 2015 AUDITOR/LEAD AUDITOR TRAINING COURSE**

**A person wearing a hard hat and holding a tablet

Description automatically generated with medium confidence**

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**FILL THE REQUIRED DETAILS -  
  
1. NAME (IN CAPITAL LETTERS) -   
  
2. EMAIL ID -   
  
3. DATE -**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **THESE SPACES ARE FOR OFFICIAL USE ONLY** | | | | | |
| **Evaluation** | **Module wise Exam Marks** | | | **Overall Marks out of**  **100** | **Final**  C or NYC |
| **(MCQ, SHORT QS.)**  **(50Marks)** | **(DESCRIPTIVE)**  **(30Marks)** | **(EXPLAIN IN BRIEF)**  **(30 Marks)** |
| **Marks** |  |  |  |  |  |
| **Assessor Sign** |  |  |  |  |  |

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**Section-1 AU Module:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass**  **mark** | **Maximum** |
| **1** |  | |  | **07** | **10** |
| **2** |  | |  | **07** | **10** |
| **3** |  | |  | **14** | **20** |
| **Total** | | | | **28** | **40** |
| **Name of**  **Examiner** | |  | | **Verification** | |
| **Result** | |  | |

**Section-2 QM Module-**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass mark** | **Maximum** |
| **1** |  | |  | **7** | **10** |
| **2** |  | |  | **14** | **20** |
| **3** |  | |  | **28** | **40** |
| **Total** | | | | **49** | **70** |
| **Name of Examiner** | |  | | **Verification** | |
| **Result** | |  | |

## Section-3 TL Module-

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass**  **mark** | **Maximum** |
| **1** |  | |  | **07** | **10** |
| **2** |  | |  | **21** | **30** |
| **Total** | | | | **28** | **40** |
| **Name of**  **Examiner** | |  | | **Verification** | |
| **Result** | |  | |

## Information for candidates

This examination paper is in three sections. Please attempt all sections.

The time allowed is 150 minutes ( two hour & thirty minutes)Additional time also including , There is no more extra additional time allowed for reading the question paper. There are a maximum of 100 marks ( AU+QM) available; the overall pass mark is 50%.

Your answers must be written on the sheets that are supplied. Additional loose sheets will not be accepted.

This examination is open book.

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## Module AU Exam Paper (20 Marks)

**SECTION 1:**

**Part-Ⅰ** Please mark 🗸 for the correct description and mark x for the wrong description (2x10 = 20)

1. The Full Form of ISO is International Organizational Standard ( )
2. ISO 45001 was released in 2018 ( )
3. The ISO 45001 follows PDAC ( )
4. 6.1.2 Clause of ISO 45001 talks about leadership and Resources ( )
5. Auditing should be carried out by only verifying records and reports ( )

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**Part-Ⅱ** Choose the correct answer to the following questions (2x5 = 10)

1. Major Non-Conformance can lead to?
2. Certificate refusal
3. Certificate can be issued
4. Consultation and Participation of the workers is mentioned in which clause?
5. 5.4
6. 6.2
7. It is not there in ISO 45001
8. **Clause no 6.1.2.1 (d) talks about** ?
9. Human Factors
10. Potential Emergency Situations

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1. ISO 45001 is ?
2. Process Based.
3. Procedure Based
4. Meaning of Participation and Consultation is same.
5. True
6. False

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**Part-iii** Read the following and answers the questions (2x10 = 20)

* 1. ISO 45001 requires that “personnel…. shall be competent”, Is it true? If Yes, Explain the meaning of competency in your own words. (2 Marks)

Q.2) Outline two ways in which an organization can encourage the workers in managing Health and Safely as per ISO 45001 ?(2 Marks)

Q.3) You wish to establish that the auditee organization has implemented an effective internal communication process in accordance with ISO 45001. a) Identify two topics that ISO 45001 requires to be communicated internally. (2 Marks)

Q.4) How can an organization communicate effectively to facilitate effective communication? List three methods.

Q.5) Which clause talks about Management Review? And Why is it important?

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Q.6 ) What is the meaning of interested parties? (2 marks)

Q.7) What is Internal Audit and Which clause talks about it? ( 2 marks)

Q.8) Mr. John, an internal auditor decides to conduct an OHS audit as per ISO 45001 without giving any prior information to anyone, Can this audit be as successful as informing prior, explain the reasons (2 marks)

Q.9) The Organization must continually improve the suitability, adequacy and effectiveness of the OH&S

management system, by….? (2 Marks)

Q.10) Define the meaning of consultation **?** ( 2 marks)

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**QM Module Test:**

# Section-2

**Part-I is of 30 marks :** Each question from **1-5 is of 6 marks**.

1. What are the internal Issues and External Issues? Which clause talks about it? (3+3)
2. Explain the importance of Leadership and Commitment in regards to ISO 45001? Which clause talks about it (3+3) or

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3. Give Examples of opportunities to improve OH&S performance and Which clause talks about it? (3+3)

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Q.4) Explain Hierarchy of Control (HoC) as per ISO 45001? And Which are clauses that talk about it?

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Q.5) What are the potential emergency situations and which clause talks about it? (3+3)

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## Part-2 is of 30 marks

Each question **1-3 is of 10 marks.**

1. Explain in detail what is Procurement in ISO 45001 and Which clause talks about ? (8+2)

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1. Explain Management of Change in detail? (10)

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1. The organization shall establish, implement and maintain a process(es) needed to prepare for and respond to potential emergency situations, as identified in 6.1.2.1, including: (10)

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