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**EMS AUDITOR EXAMINATION PAPER EMS   
 FOR USE ON THE**

**ISO 14001 : 2015 AUDITOR/LEAD AUDITOR TRAINING COURSE**

**A person wearing a hard hat and holding a tablet

Description automatically generated with medium confidence**

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**FILL THE REQUIRED DETAILS -  
  
1. NAME (IN CAPITAL LETTERS) -   
  
2. EMAIL ID -   
  
3. DATE -**

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| **THESE SPACES ARE FOR OFFICIAL USE ONLY** | | | | | |
| **Evaluation** | **Module wise Exam Marks** | | | **Overall Marks out of**  **100** | **Final**  C or NYC |
| **(MCQ, SHORT QS.)**  **(50Marks)** | **(DESCRIPTIVE)**  **(30Marks)** | **(EXPLAIN IN BRIEF)**  **(30 Marks)** |
| **Marks** |  |  |  |  |  |
| **Assessor Sign** |  |  |  |  |  |

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**Section-1 AU Module:**

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| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass**  **mark** | **Maximum** |
| **1** |  | |  | **07** | **10** |
| **2** |  | |  | **07** | **10** |
| **3** |  | |  | **14** | **20** |
| **Total** | | | | **28** | **40** |
| **Name of**  **Examiner** | |  | | **Verification** | |
| **Result** | |  | |

**Section-2 QM Module-**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass mark** | **Maximum** |
| **1** |  | |  | **7** | **10** |
| **2** |  | |  | **14** | **20** |
| **3** |  | |  | **28** | **40** |
| **Total** | | | | **49** | **70** |
| **Name of Examiner** | |  | | **Verification** | |
| **Result** | |  | |

## Section-3 TL Module-

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parts** | **Examiner 1** | | **Examiner 2**  **(marks verification)** | **Min. Pass**  **mark** | **Maximum** |
| **1** |  | |  | **07** | **10** |
| **2** |  | |  | **21** | **30** |
| **Total** | | | | **28** | **40** |
| **Name of**  **Examiner** | |  | | **Verification** | |
| **Result** | |  | |

## Information for candidates

This examination paper is in three sections. Please attempt all sections.

The time allowed is 150 minutes ( two hour & thirty minutes)Additional time also including , There is no more extra additional time allowed for reading the question paper. There are a maximum of 100 marks ( AU+QM) available; the overall pass mark is 50%.

Your answers must be written on the sheets that are supplied. Additional loose sheets will not be accepted.

This examination is open book.

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## Module AU Exam Paper

**SECTION 1:**

**Part-Ⅰ** Please mark 🗸 for the correct description and mark x for the wrong description (each 2 marks)

1. The PDCA model provides an iterative process used by organization to achieve continual improvement. ( )
2. Non-government organizations, regulators, communities are not the examples of interested parties in ISO 14001:2015. ( )
3. Concept of P-D-C-A cant be applied to an environmental management system and its each of elements. ( ).
4. According to ISO 14001:2015 standard **3.4.8** Ability to apply knowledge and skills are known as competence. ( ).
5. The organization shall continually improve the suitability , adequacy and effectiveness of the environmental management system to enhance environmental performance. ( )
6. Potential beneficial effectsa are threats & potential adverse effects are opportunities for an organization. ( )
7. Top management `has the power to delegate authority and provide resources within the organization. ( )

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1. Control can include engineering control and procedures. Controls can be implemented following a hierarchy ( elimination, substitution, administrative ) and can be used individually or in combination. ( )
2. The environmental objective shall must be monitored, consistent with environmental policy , communicated. ( )
3. Element of an organization activities or products or services that interacts or can can interact with the environment is known as environmental impact. ( )

**Part-Ⅱ** Choose the correct answer to the following questions ( 2 Marks each)

1. Fulfillment of a requirement is known as?
2. Corrective action
3. process
4. Conformity
5. monitoring
6. None of the above
7. Intention and direction of an organization related to environmental performance as formally expressed by its top management is the definition of ?
8. Auditing
9. Environmental aspect
10. Compliance obligation
11. Environmental policy
12. **Emergency preparedness and response** is part of the which ISO 14001:2015 standards ?
13. 7.4.2
14. 6.2

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1. 9.2.2
2. 8.2
3. 4.1
4. The environmental policy shall …… ?
5. Be maintained as documented information.
6. Be communicated within the organization
7. Be available to interested parties.
8. (A) (B) (C) All are correct
9. Only option A is correct.
10. “Audit criteria” are the set of policies, procedures or requirements.
11. True
12. False

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**Part-iii** Read the following and answers the questions

* 1. When an organization plan for the environmental management system at that time what consideration needed to consider ? (2 Marks)

Q.2) what are the stages of life cycle ?(2 Marks)

Q.3) Mention any 3 consideration for an organization Emergency preparedness and response (2 Marks)

Q.4) What are the reasons for assigning the responsibility and authority by top management ?

Q.5) Mention any 3 Management review consideration.

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Q.6 ) Definition of interested parties with examples (2 marks)

Q.7) Define the environmental management system ? ( 2 marks)

Q.8) what are the mean by conformity and non-conformity ? (2 marks)

Q.9) what are the steps the organization need to take when any non conformity occurs , mention any 3 steps ? (2 marks)

Q.10) Define ISO 14001:2015 **“7.4.2” standard ?** ( 2 marks)

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**QM Module Test:**

# Section-2

**Part-I is of 30 marks :** Each question from **1.01 to 1.05 is of 6 marks**.

* 1. What are the intended outcomes that makes an ISO 14001:2015 EMS successful in an organization ? according to ISO “shall” , “can” , “ should”, “may” verbal forms used for ? ( 3+3)
  2. Explain internal audit and internal audit programme ? (3+3) or

To monitor , measure, analyse, and evaluate performance of an organization what considerations need to determine ? (6)

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Q.3 Explain P-D-C-A model ? (6)

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Q.4) Determine the important factors that the persons need to aware when they work under the organization control ? (6)

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Q.5) Definition of Environment management system & Audit ? (3+3)

or ,

What are the competence required according to iso 14001:2015 to manage effective EMS in an organization. ? (6)

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## Part-2 is of 30 marks

Each question **2.01 to 2.03 is of 10 marks.**

* 1. What is environmental aspect and impacts ? what are environmental objective ? what is compliance obligations ? (3+2+5 marks)

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* 1. What is the organizational context of environmental management? Who are the interested parties and what are the expectations of interested parties from an organization? (4+2+4marks)

**1.**

**Or**

Determine the scope of the EMS in brief & role of top management to improve EMS ? (5+5)

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* 1. To consistent with life cycle perspective what are the factor need to determine of an operational process ? Define prevention of pollution and define requirement ? (5+2.5+2.5)

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